

PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76718

Seiichiro FUJIOKA, et al.

Appln. No.: 10/630,838

Group Art Unit: 2879

Confirmation No.: 1975

Examiner: Ashok PATEL

Filed: July 31, 2003

For:

COLD CATHODE LAMP AND ELECTRONIC INSTRUMENT USING COLD

CATHODE LAMP

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. United States Patent No. 4,815,936, issued March 28, 1989.
- 2. Chinese Patent Application Publication No. 1254938, published May 31, 2000.
- 3. Chinese Patent Application Publication No. 1267898, published September 27, 2000.
- 4. Chinese Patent Application Publication No. 2476042, published February 6, 2002.

One copy of each of the listed documents is submitted herewith, except for the U.S.

patent.

03/24/2006 HALI11

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/630,838

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Atty. Docket No.: Q76718

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a corresponding Chinese

Office Action, dated December 23, 2005, and a complete English translation thereof which cites

above listed references 2-4, and indicates the degree for relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

ward L. Bernstein Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 23, 2006

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|                                    |               |                             |                        | MODIFIED PTO/SB/08 A & B ( | 06-03) |
|------------------------------------|---------------|-----------------------------|------------------------|----------------------------|--------|
| substitute for Form 1449 A & B/PTO |               | Complete if Known           |                        |                            |        |
|                                    |               |                             | Application Number     | 10/630,838                 |        |
| <u>INFOR</u>                       | MATION        | DISCLOSURE PE               | Confirmation Number    | 1975                       |        |
| STATE                              | EMENT BY      | APPLICANT 4                 | Filing Date            | July 31, 2003              |        |
|                                    |               | <del></del>                 | First Named Inventor   | Seiichiro FUJIOKA          |        |
| (use                               | as many sheet | s as necessary MAR 2 3 2006 | "Art Unit              | 2879                       |        |
| Albu, -                            |               |                             | Examiner Name          | Ashok PATEL                |        |
| Sheet                              | ] 1           |                             | Attorney Docket Number | Q76718                     |        |
| TANDEN                             |               |                             |                        |                            |        |

|                       |                          |                 |                                   | PATENT DOCU      | MENTS   |  |
|-----------------------|--------------------------|-----------------|-----------------------------------|------------------|---|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                   | Publication Date |   |  |
|                       |                          | Number          | Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       | Name of Patentee or Applicant of Cited Document |  |
|                       |                          | US 4,815,936    | Α                                 | 03-28-1989       | Stoltze et al.                                  |  |
|                       |                          | US              |                                   |                  |   |  |
|                       |                          | US              |                                   |                  |   |  |
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|              |                              | F                        | OREIGN PA   | TENT DOCUMEN  | NTS  |                          |
|--------------|------------------------------|--------------------------|---|---|--|--------------------------|
| Cite<br>No.1 | Foreign Patent Document      |                          |   | Publication Date  | Name of Patentee or  |                          |
|              | Country<br>Code <sup>3</sup> | Number⁴                  | Kind Code <sup>5</sup> (if known)   | MM-DD-YYYY  | Applicant of Cited Document  | Translation <sup>6</sup> |
|              | CN                           | 1254938                  | A   | 05-31-2000  |  |                          |
|              | CN                           | 1267898                  | Α   | 09-27-2000  |  |                          |
|              | CN                           | 2476042                  | Y   | 02-06-2002  |  |                          |
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|              |                              |                          | †   |   |  |                          |
|              |                              | No.¹ Country Code³ CN CN | Cite No.1         Foreign Patent Document Country Code3         Number4           CN         1254938           CN         1267898 | Cite No.1         Foreign Patent Document           Country Code3         Number4         Kind Code5 (if known)           CN         1254938         A           CN         1267898         A | Cite No.1         Foreign Patent Document         Publication Date MM-DD-YYYY           Country Code3         Number4         Kind Code5 (if known)         MM-DD-YYYY           CN         1254938         A         05-31-2000           CN         1267898         A         09-27-2000 | Country                  |

| NON PATENT LITERATURE DOCUMENTS                |  |  |                             |  |
|--|--|--|-----------------------------|--|
| Examiner Cite Include Initials* No. journal, s |  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | t. Translation <sup>6</sup> |  |
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|  |  |  | <u> </u>                    |  |

| Examiner Signature | Date Considered |  |
|--------------------|-----------------|--|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.



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## STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Howard L. Bernstein

Registration No. 25,665

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